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# Biplanar Crossing Numbers I: A Survey of Results and Problems

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This paper is dedicated to the 70th birthdays of András Hajnal and Vera T. Sós.

#### Abstract

We survey known results and propose open problems on the biplanar crossing number. We study biplanar crossing numbers of specific families of graphs, in particular, of complete bipartite graphs. We find a few particular exact values and give general lower and upper bounds for the biplanar crossing number. We find the exact biplanar crossing number of  $K_{5,q}$  for every q.

## 1 Introduction

During WWII in a forced work camp, Paul Turán [27] introduced the crossing number problem, in particular the Brick Factory Problem, which asks for the crossing number of complete bipartite graphs. The present paper surveys the few known results and proposes open problems on a variant of the crossing number, the *biplanar crossing number*, and solves the biplanar version of the Brick Factory Problem for  $K_{5,q}$  exactly.

Recall that a graph G is biplanar [5], if one can write  $G = G_1 \cup G_2$ , where  $G_1$  and  $G_2$  are planar graphs. Let cr(G) denote the standard crossing number of the graph G, i.e.

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the minimum number of crossings of its edges over all possible drawings of G in the plane, under the usual rules for drawings for crossing numbers [20, 26]. Motivated by printed circuit boards, Owens [15] introduced the *biplanar crossing number* of a graph G, that we denote by  $cr_2(G)$ . By definition  $cr_2(G) = \min\{cr(G_1) + cr(G_2)\}$ , where the minimum is taken over all unions  $G = G_1 \cup G_2$ . A *biplanar drawing* of a graph G means drawings of two subgraphs,  $G_1$  and  $G_2$ , of G, on two disjoint planes under the usual rules for drawings for crossing numbers, such that  $G_1 \cup G_2 = G$ . Owens described a biplanar drawing of the complete graph  $K_n$  with  $cr_2(K_n) \leq 7n^4/1536 + O(n^3)$ . One can define  $cr_k(G)$  similarly for any  $k \geq 2$ , making G a union of k subgraphs. Determining  $cr_k(G)$  would have application to the design of multilayer VLSI circuits [1]; but perhaps the case k = 2 is the most interesting, and even this simplest case is little explored so far. Note that one always can realize  $cr_2(G)$  by drawing the edges of  $G_1$  and  $G_2$  on two different sides of the same plane, while identical vertices of  $G_1$  and  $G_2$  are placed to identical locations on the plane on the two sides.

The biplanar crossing number problem is related to the *thickness* and *book crossing* number problems. The thickness  $\Theta(G)$  of G is the minimum number of planar graphs whose union is G. By definition,  $\operatorname{cr}_2(G) = 0$  if and only if  $\Theta(G) \leq 2$ , i.e. G is biplanar. The nature of the crossing number and the biplanar crossing number problems seems different, since testing whether  $\operatorname{cr}(G) = 0$  can be done in linear time, while testing biplanarity is an NP-complete problem [12]. Asano's result [3] implies that if a graph is toroidal, then  $\operatorname{cr}_2(G) = 0$ . Surveys on biplanar graphs and the thickness problem can be found in [5, 13].

A k-book embedding of a graph G consists of placing vertices of G on the spine of a book and drawing each edge on one of the k pages. The book crossing number of G, denoted by  $\nu_k(G)$ , is the minimum total number of crossings on all pages among all k-page book embedding of G [21]. One can easily observe that  $\operatorname{cr}_2(G) \leq \nu_4(G)$ .

We denote by n the order and by m the size of a graph, and we deviate from this rule only for complete bipartite graphs.

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## 2 General Results

#### 2.1 Variants of Euler's Formula

Little is known about the biplanar crossing number in general. Some of the lower bounds for crossing numbers, *mutatis mutandis* apply to biplanar crossing numbers. For example, the lower bound resulting from Euler's formula,  $cr(G) \ge m - 3n + 6$  for  $n \ge 3$ , provides

$$\operatorname{cr}_2(G) \ge m - 6n + 12. \tag{1}$$

There is a strengthening of the lower bound resulting from Euler's formula for graphs G with girth  $\geq g$ ,  $\operatorname{cr}(G) \geq m - g(n-2)/(g-2)$  for  $n \geq g$ ; and we get

$$\operatorname{cr}_2(G) \ge m - 2\frac{g}{g-2}(n-2)$$
 (2)

for  $n \ge g$  (it follows from combining Theorem 2.1 in [5] with the arguments in [20]). Pach and Tóth showed ([18] and personal communication from G. Tóth) that with  $n \ge 3$ 

$$\operatorname{cr}(G) \ge 6m - 33n + 66,$$
 (3)

and for triangle-free graphs with  $n \ge 4$ 

 $\operatorname{cr}(G) \ge 6m - 27n + 54.$  (4)

These results immediately imply their counterparts for the biplanar crossing number:

$$\operatorname{cr}_2(G) \ge 6m - 66n + 132,\tag{5}$$

for  $n \geq 3$ ; and for triangle-free graphs with  $n \geq 4$ 

$$\operatorname{cr}_2(G) \ge 6m - 54n + 108.$$
 (6)

#### 2.2 Other Lower Bounds

Using our (1) instead of formula (1) from [20] in the second proof of Theorem 3.2 in [20], one obtains the following biplanar counterpart of the Leighton [10] and Ajtai et al. [2] bound: for all c > 6, if  $m \ge cn$ , then

$$\operatorname{cr}_2(G) \ge \frac{c-6}{c^3} \cdot \frac{m^3}{n^2}.$$
(7)

For somewhat denser graphs one can improve (7) using the Pach-Tóth's results cited above.

Pach, Spencer and Tóth [17] proved a conjecture of Simonovits, improving the bound of (7). If G has girth > 2r and  $m \ge 4n$ , then

$$\operatorname{cr}(G) = \Omega\left(\frac{m^{r+2}}{n^{r+1}}\right).$$
(8)

It is easy to see that (8) also holds for  $cr_2$  instead of cr, if  $m \ge 8n$ .

Lower bounds for the crossing number based on the *counting method* [20] provide similar arguments setting lower bounds for the biplanar crossing number. Since we are going to use it, we review the counting method. Assume that we have a sample graph H. Take a graph G together with a biplanar drawing which realizes its biplanar crossing number. Without loss of generality we may assume that no adjacent edges cross and any two edges cross at most once in the drawing [26]. If we find A copies of H in G, and no crossing of the drawing belongs to more than B copies of H, then

$$\operatorname{cr}_2(G) \ge \operatorname{cr}_2(H)\frac{A}{B}.$$

However, important techniques as the embedding method [10] or the bisection width method [16], [24] (see also the survey [20]) do not seem to generalize to biplanar crossing numbers. Even worse, as Tutte noted [5], the biplanar crossing number is not an invariant for homeomorphic graphs; in fact, the edges of every graph can be subdivided such that the subdivided graph is biplanar! Furthermore, Beineke [5] shows that the minimum number of subdivisions needed to make a graph biplanar equals the minimum number of edges whose deletion leaves a biplanar graph.

**Open Problem 1.** Find lower bound arguments for the biplanar crossing number based on structural properties of graphs, not merely on the density of graphs.

J. Spencer [25] was the first to find such a lower bound. Say that a graph of order n and size m has property (\*), if for every vertex set A with  $n/6 \leq |A| \leq 5n/6$ , the number of edges between A and  $\overline{A}$  is at least m/10000. Spencer showed that if m > cn for a certain  $c, \sum d_i^2 = o(m^2)$ , and the graph has the (\*) property, then  $\operatorname{cr}_2(G) = \Omega(m^2)$ . Since random graphs have the (\*) property, the biplanar crossing number of the random graph is  $\Omega(p^2 {n \choose 2}^2)$  for  $p \geq c'/n$ . Bounded degree expander graphs also have property (\*).

#### 2.3 Drawings, Upper Bounds

We showed [23] using a randomized algorithm, that for all graphs G,

$$\operatorname{cr}_2(G) \le \frac{3}{8} \operatorname{cr}(G). \tag{9}$$

However, one cannot give an upper bound for  $\operatorname{cr}(G)$  in terms of  $\operatorname{cr}_2(G)$ , since there are graphs G of order n and size m, with crossing number  $\operatorname{cr}(G) = \Theta(m^2)$  (i.e. as large as possible) and biplanar crossing number  $\operatorname{cr}_2(G) = \Theta(m^3/n^2)$  (i.e. as small as possible), for any m = m(n), where m/n exceeds a certain absolute constant. As [23] shows, such graphs G can be obtained from a certain graph H with  $\operatorname{cr}(H) = \Theta(m^3/n^2)$ , such that vertices of H are identified with identically named vertices of  $H^{\pi}$ , where  $H^{\pi}$  is obtained from H by permuting the vertices randomly.

**Open Problem 2.** What is the smallest number  $c^*$  (in place of 3/8), with which (9) is true?

Owens [15] came up with a conjectured  $cr_2$ -optimal drawing of  $K_n$  which has about 7/24 of the crossings of a conjectured cr-optimal drawing of  $K_n$ . This might give some basis to conjecture that  $c^* \leq 7/24$ . On the other hand, we will show in (19) that  $cr_2(K_n) \geq n^4/952$ for large n, and comparison with  $cr(K_n) \leq n^4/64$  [29] proves  $c^* \geq 64/952$ . We used (9) to prove that for any graph G,  $\Theta(G) - 2 = O(cr(G)^{.4057})$  [23]. It is likely that .4057 can be replaced by smaller constants, perhaps with .25. The example of a complete graph shows that the constant cannot be smaller than .25.

We see a curious phenomenon. Call a biplanar drawing realizing the biplanar crossing number of a graph G self-complementary, if the subgraphs  $G_1$  and  $G_2$  are isomorphic in the graph theoretic sense.  $K_8$  is biplanar, and a self-complementary drawing shows it [5], and the same can be told about  $K_{5,12}$ . Self-complementary biplanar drawings are very convenient to draw. As  $G_1$  and  $G_2$  are isomorphic we only need to label the vertices by symbols like (a : b), which means that the vertex in question is vertex a in the drawing on the first plane, and is vertex b in the drawing on the second plane. (See Figs. 1, 2, 3, 4.) Our drawing in Theorem 6 for the hypercube  $Q_k$  with even k—although clearly not optimal, but probably near-optimal—is also self-complementary.

**Open Problem 3.** Show that if  $K_n$  or  $K_{p,q}$  has an even number of edges, then it has an optimal biplanar drawing, which is self-complementary.

Concerning upper bounds for  $cr_2(G)$ , in terms of m, we proved in a joint paper with Shahrokhi [21] a general upper bound for the k-page book crossing numbers of graphs:

$$\mu_k(G) \le \frac{1}{3k^2} \left( 1 - \frac{1}{2k} \right) m^2 + O\left(\frac{m^2}{kn}\right), \tag{10}$$

which together with  $\operatorname{cr}_2(G) \leq \mu_4(G)$  gives a general upper bound on  $\operatorname{cr}_2(G)$ 

$$\operatorname{cr}_2(G) \le \frac{7}{384}m^2 + O\left(\frac{m^2}{n}\right).$$
 (11)

# 3 Results and Problems on Complete Bipartite Graphs

The famous Zarankiewicz's Crossing Number Conjecture or Turán's Brick Factory Problem is as follows:

$$\operatorname{cr}(K_{p,q}) = \left\lfloor \frac{p}{2} \right\rfloor \left\lfloor \frac{p-1}{2} \right\rfloor \left\lfloor \frac{q}{2} \right\rfloor \left\lfloor \frac{q-1}{2} \right\rfloor.$$
(12)

Kleitman showed that (12) holds for  $q \leq 6$  [9] and also proved that the smallest counterexample to the Zarankiewicz's conjecture must occur for odd p and q. Woodall used elaborate computer search to show that (12) holds for  $K_{7,7}$  and  $K_{7,9}$ . Thus, the smallest unsettled instances of Zarankiewicz's conjecture are  $K_{7,11}$  and  $K_{9,9}$ . The following remarkable construction suggests Zarankiewicz's conjecture: place  $\lfloor p/2 \rfloor$  vertices to negative positions on the x-axis,  $\lceil p/2 \rceil$  vertices to positive positions on the x-axis,  $\lfloor q/2 \rfloor$ vertices to negative positions on the y-axis,  $\lceil q/2 \rceil$  vertices to positive positions on the y-axis, and draw pq edges by straight line segments to obtain a drawing of  $K_{p,q}$ .

In this section we work towards a biplanar analogue of the Zarankiewicz's Conjecture and make conjectures for the cases q = 6 and 8.

#### 3.1 Lower Bounds for Complete Bipartite Graphs

The girth formula (2) yields

$$\operatorname{cr}_2(K_{p,q}) \ge pq - 4(p+q-2).$$
 (13)

One can use the counting argument with  $H = K_{10,10}$ ,  $G = K_{p,q}$ , and the fact that  $\operatorname{cr}_2(K_{10,10}) \geq 28$  from (13), to obtain:

**Theorem 1.** For  $10 \le p \le q$ , we have

$$\operatorname{cr}_2(K_{p,q}) \ge \frac{p(p-1)q(q-1)}{290}.$$
 (14)

For  $p \leq 9$  we make a finer analysis of  $\operatorname{cr}_2(K_{p,q})$ .

#### 3.2 Exact Results for Complete Bipartite Graphs

It is easy to see that  $K_{4,q}$  is always biplanar. The result on the thickness of complete bipartite graphs of Harary et al. [4] implies that for  $q \leq 12$ ,  $\Theta(K_{5,q}) \leq 2$  and  $\Theta(K_{5,13}) = 3$ . Hence  $\operatorname{cr}_2(K_{5,13}) \geq 1$ . Paterson [19] observed that  $\operatorname{cr}_2(K_{5,13}) = 1$ . Determining the biplanar crossing number of  $K_{5,q}$  for  $q \geq 14$  is the main result of this paper.

**Theorem 2.** For any  $q \ge 1$ , we have

$$\operatorname{cr}_2(K_{5,q}) = \left\lfloor \frac{q}{12} \right\rfloor \left( q - 6 \left\lfloor \frac{q}{12} \right\rfloor - 6 \right),$$

and for even q there is an optimal drawing, which is self-complementary.

**Proof.** We provide a drawing first. Assume that q = 12a + b,  $0 \le b < 12$ . Partition the q vertices into 12 consecutive arcs, which are as equal as possible. Let these arcs be  $S_1, S_2, ..., S_{12}$ . Clearly b arcs contain a + 1 vertices and 12 - b arcs contain a vertices. Consider the regular 12-gon inscribed into the unit circle centered at (0,0), with one vertex



Figure 1: Self-complementary drawing of  $K_{5,12}$ 

placed in (1,0). Fig. 1 shows a self-complementary biplanar drawing of  $K_{5,12}$ , where the 12 vertices are placed into the vertices of the regular 12-gon. To draw  $K_{5,q}$ , we place the 5 vertices into the locations as they take in Fig. 1.We use small neighborhoods of the vertices of this regular 12-gon for the placement of the 12 arcs on the circumscribed circle of the 12-gon, starting with  $S_1$  at (1,0), and going counterclockwise, i.e. put  $S_i$  where the vertex is (i:5-i) on the figure. Now we describe a drawing of  $K_{5,q}$  on the first plane. Place  $v_1$  at (-2,0) and join it to  $S_4, S_5, S_6, S_7, S_8, S_9, S_{10}$ .

Place  $v_2$  at  $(0, -\frac{1}{2})$  and join it to  $S_8, S_9, S_{10}, S_{11}, S_{12}$ .

Place  $v_3$  at (0,0) and join it to  $S_{12}, S_1, S_2$  and  $S_6, S_7, S_8$ .

Place  $v_4$  at (2,0) and join it to  $S_{10}, S_{11}, S_{12}, S_1, S_2, S_3, S_4$ .

Place  $v_5$  at  $(0, \frac{1}{2})$  and join it to  $S_2, S_3, S_4, S_5, S_6$ .

On the second plane, place  $v_1$  at  $(0, \frac{1}{2})$ ,  $v_2$  at (2, 0),  $v_3$  at (0, 0),  $v_4$  at  $(0, -\frac{1}{2})$ , and  $v_5$  at (-2, 0). Put  $S_{5-i}$  (counting mod 12) where  $S_i$  was in the first plane and and draw the remaining edges exactly with the same curves that we used in the first plane.

In general, vertex (i: 5-i) represents an arc with  $S_i$  in the first plane and an arc with  $S_{5-i}$  in the second plane. Clearly the number of crossings—as we made the necessary crossings only— is exactly

$$\sum_{i=1}^{12} \binom{|S_i|}{2} = b\binom{a+1}{2} + (12-b)\binom{a}{2}.$$

Substituting  $a = \lfloor q/12 \rfloor$  and  $b = q - 12 \lfloor q/12 \rfloor$  into the previous formula we get the required upper bound.

We obtained above a self-complementary drawing of  $K_{5,12q}$ . To make this drawing selfcomplementary for every even q, the question is, where we put the extra b = 2b' vertices. Whenever we have to add two new vertices, they must be added to arcs  $S_i$  and  $S_{5-i}$  for some i. Note that the twelve arcs make exactly 6 such pairs. The lower bound is proved by induction on q. The claim is true for  $12 \le q \le 24$ , as formula (2) gives a lower bound of q - 12. Assume that it is true for some  $q \ge 24$ . Using the counting argument with  $H = K_{5,q}$ ,  $G = K_{5,q+1}$ , we argue that

$$\operatorname{cr}_{2}(K_{5,q+1}) - \left\lfloor \frac{q+1}{12} \right\rfloor \left( q-6 \left\lfloor \frac{q+1}{12} \right\rfloor - 5 \right)$$

$$\geq \left\lceil \frac{\binom{q+1}{q}}{\binom{q-1}{q-2}} \operatorname{cr}_{2}(K_{5,q}) \right\rceil - \left\lfloor \frac{q+1}{12} \right\rfloor \left( q-6 \left\lfloor \frac{q+1}{12} \right\rfloor - 5 \right)$$

$$\geq \left\lceil \frac{q+1}{q-1} \left\lfloor \frac{q}{12} \right\rfloor \left( q-6 \left\lfloor \frac{q}{12} \right\rfloor - 6 \right) - \left\lfloor \frac{q+1}{12} \right\rfloor \left( q-6 \left\lfloor \frac{q+1}{12} \right\rfloor - 5 \right) \right\rceil.$$

To conclude the proof, one has to show that the expression inside the big brackets of the last line is greater than -1. This can be done by distinguishing two cases: whether  $q = 11 \pmod{2}$ , or not, and doing some algebra.

Other exact results that we know about  $cr_2(K_{p,q})$  are summarized in the following table. In some interesting cases we also included lower and upper bounds.

p vs. q	7	8	9	10	11	12	13	14	15	16
6	0	0	2	4	6	8	10	12	14	16
7	1	4	7	10	13	16	19,21			
8	4	8	12	16	20	24	29,32			
9	7	12	17, 19	22, 24						
10	10	16	$22,\!24$	$28,\!32$						

All the lower bounds in the table follow from the lower bound (13). Exactness for p = 6 follows from Theorem 3 in Subsection 3.3. Exactness for p = 7 follows from the drawing Fig. 2 of  $K_{7,12}$  for q = 12; and optimal drawings for  $K_{7,q}$  for  $8 \le q \le 11$  can be obtained from Fig. 2 by successively erasing vertices 12, 11, 10, 9, in this order. Note that the drawings obtained for  $K_{7,8}$ ,  $K_{7,10}$ , and  $K_{7,12}$  are also self-complementary. Unfortunately, we do not have a biplanar drawing of  $K_{7,q}$  that we would dare to think optimal.

Exactness for p = 8 follows from the self-complementary drawing Fig. 3 of  $K_{8,12}$ ; optimal drawings for  $K_{8,q}$  for  $6 \le q \le 11$  can be obtained from that drawing by e.g. successively erasing vertices 12,1,7,6,10,3, in this order.

One can get drawings for  $K_{9,q}$  and  $K_{10,q}$  from the general drawing described in Subsection 3.4. We know that as early as for  $K_{11,11}$  or  $K_{10,13}$ , the estimation (13) is no longer the best lower bound. This follows from the arguments that lead to (6).

#### 3.3 Conjectured Exact Results for Complete Bipartite Graphs

**Theorem 3.** For any  $q \ge 1$ , we have

$$\operatorname{cr}_2(K_{6,q}) \le 2 \left\lfloor \frac{q}{8} \right\rfloor \left( q - 4 \left\lfloor \frac{q}{8} \right\rfloor - 4 \right).$$

This bound is optimal for any  $q \leq 16$ .



Figure 2: Self-complementary drawing of  $K_{7,12}$ .



Figure 3: Self-complementary drawing of  $K_{8,12}$ .

**Proof.** We provide two different drawings. *First drawing*. On both planes we draw a "thinned out" copy of the drawing from the Zarankiewicz conjecture. Place the vertices  $v_1, v_2$  and  $v_3$  (resp.  $u_1, u_2$  and  $u_3$ ) on the positive (resp. negative) part of the x axis, in this order from the origin. Partition the q vertices into 8 almost equal sets,  $S_1, S_2, S_3, S_4$  and  $T_1, T_2, T_3, T_4$ . Place  $S_i(T_i)$ , i = 1, 2, 3, 4 consecutively from the origin toward infinity (minus infinity) on the y axis. On both planes we connect any  $v_i, u_j$  to all or no vertices

of any  $S_k$  or  $T_l$ , and all connections are straight line segments. For the drawing on the first plane join  $v_1$  and  $u_1$  with  $S_1, S_2, T_1, T_2$ ;  $v_2$  and  $u_2$  with  $S_2, S_3, T_2, T_3$ ;  $v_3$  and  $u_3$  with  $S_3, S_4, T_3, T_4$ . For the drawing on the second plane the locations of  $v_i$ 's and  $u_i$ 's are the same. But place the  $S_i$ 's vertices in the order  $S_3, S_4, S_1, S_2$ , from the origin toward infinity; and place the  $T_i$ 's vertices in the order  $T_3, T_4, T_1, T_2$ , from the origin toward minus infinity. Draw the remaining edges with straight line segments. The number of crossings is precisely

$$2\left(\binom{|S_1|}{2} + \binom{|S_2|}{2} + \binom{|S_3|}{2} + \binom{|S_4|}{2} + \binom{|T_1|}{2} + \binom{|T_2|}{2} + \binom{|T_3|}{2} + \binom{|T_4|}{2}\right).$$
(15)

Simple algebra shows that this is equal to the expression in the statement of the Theorem. Second drawing. Fig. 4 shows a crossing-free self-complementary drawing of  $K_{6,8}$ . We explain how to extend it into a self-complementary drawing with the same number of crossings as the first drawing. Assume first that n = 8k. Substitute every lettered vertex



Figure 4: Self-complementary drawing of  $K_{6.8}$ .

in Fig. 4 with k vertices on a very short straight line segment. We will join all three former neighbors of a lettered vertex to all k successors of the lettered vertex. Join one of the three from one side of the short straight line segment, and join the two others from the other side of the short straight line segment. Clearly the number of crossings is the same as in (15). If q = 8k + r ( $1 \le r \le 3$ ), then use k + 1 successor vertices for r of the lettered vertices (a : a) and (c : c) and (g : g). If q = 8k + 4 + r ( $1 \le r \le 3$ ), then use k + 1 successor vertices for the lettered vertices (e : b) and (b : e) and (d : f) and (f : d);

and also use k + 1 successor vertices for r of the lettered vertices (a : a) and (c : c) and (g : g). The number of crossings is—in all cases—the same as in (15) again.

The optimality of the lower bound for  $q \leq 16$  follows from (2), which gives a lower bound of 2q - 16.

We would like to point out that if  $\operatorname{cr}_2(K_{6,q})$  is even for every q, then the counting argument from the proof of Theorem 2, mutatis mutandis, can be repeated for Theorem 3. Note that if  $K_{6,q}$  has an optimal biplanar drawing in which  $G_1$  is isomorphic to  $G_2$ , as we conjecture, then  $\operatorname{cr}_2(K_{6,q})$  is even.

**Theorem 4.** For any  $q \ge 1$ , we have

$$\operatorname{cr}_2(K_{8,q}) \le 4 \left\lfloor \frac{q}{6} \right\rfloor \left( q - 3 \left\lfloor \frac{q}{6} \right\rfloor - 3 \right).$$

This bound is optimal for any  $q \leq 12$ .

**Proof.** Place the vertices  $v_1, v_2, v_3$  and  $v_4$  (resp.  $u_1, u_2, u_3$  and  $u_4$ ) on the positive (resp. negative) part of the y axis, in this order from the origin. Partition the q vertices into 6 almost equal sets,  $S_1, S_2, S_3$  and  $T_1, T_2, T_3$ . Place  $S_i(T_i)$ , i = 1, 2, 3, consecutively from the origin toward infinity (minus infinity) on the x axis. On both planes we connect any  $v_i, u_j$  to all or no vertices of any  $S_k$  or  $T_l$ , and all connections are straight line segments. For the drawing on the first plane join  $v_1$  and  $u_1$  with  $S_1, T_1; v_2$  and  $u_2$  with  $S_1, S_2, T_1, T_2; v_3$  and  $u_3$  with  $S_2, S_3, T_2, T_3$  and  $v_4, u_4$  to  $S_3, T_3$ . For the drawing on the second plane the locations of  $S_i$ 's and  $T_i$ 's are the same. But place the  $v_i$ 's vertices in the order  $u_3, u_4, u_1, u_2$ , from the origin toward infinity. Draw the remaining edges with straight line segments. The number of crossings is precisely

$$4\left(\binom{|S_1|}{2} + \binom{|S_2|}{2} + \binom{|S_3|}{2} + \binom{|T_1|}{2} + \binom{|T_2|}{2} + \binom{|T_3|}{2}\right).$$

The rest is similar as in the proof of Theorem 3. Optimality follows from (2), which gives a lower bound of 4q - 24.

**Open Problem 4.** Prove that the upper bounds in Theorem 3 and in Theorem 4 are optimal. Make a first step in this direction by proving that  $\operatorname{cr}_2(K_{6,q}) = (\frac{1}{8} + o(1))q^2$ .

### 3.4 The Best Known Drawings for other Complete Bipartite Graphs

**Theorem 5.** For any  $p \ge 6, q \ge 8$ , we have

$$\operatorname{cr}_{2}(K_{p,q}) \leq \left\lceil \frac{p}{6} \right\rceil \left\lceil \frac{q}{8} \right\rceil \left( 32 \left\lceil \frac{p}{6} \right\rceil \left\lceil \frac{q}{8} \right\rceil - 20 \left\lceil \frac{p}{6} \right\rceil - 24 \left\lceil \frac{q}{8} \right\rceil + 12 \right)$$
$$\leq \frac{1}{144} (p+5)(q+7)(2pq+4p+q-7).$$

**Proof.** We generalize the drawings for  $K_{6,q}$  and  $K_{8,q}$ . Partition the p vertices into almost equal sets  $X_1, X_2, ..., X_6$ . Place  $X_1, X_2, X_3$  (resp.  $X_4, X_5, X_6$ ) on the positive (negative) part of the x axis in this order from the origin towards infinity (minus infinity). Partition the q vertices into almost equal sets  $Y_1, Y_2, ..., Y_8$ . Place  $Y_1, Y_2, Y_3, Y_4$  (resp.  $Y_5, Y_6, Y_7, Y_8$ )

on the positive (negative) part of the y axis in this order from the origin towards infinity (minus infinity).

On both planes we connect all vertices of any  $X_i$  to all or no vertices of any  $Y_j$ , and all connections are straight line segments. For the drawing on the first plane join  $X_1$ and  $X_4$  with  $Y_1, Y_2, Y_5, Y_6$ ;  $X_2$  and  $X_5$  with  $Y_2, Y_3, Y_6, Y_7$ ;  $X_3$  and  $X_6$  with  $Y_3, Y_4, Y_7, Y_8$ . For the drawing on the second plane the locations of  $X_i$ 's are the same. Place the  $Y_i$ 's vertices in the order  $Y_3, Y_4, Y_1, Y_2$ , from the origin towards infinity; and  $Y_7, Y_8, Y_5, Y_6$ , from the origin towards minus infinity on the y axis. Draw the remaining edges with straight line segments. By counting up of all kinds of crossings in the drawing and by regrouping terms we get that the number of crossings is precisely

$$\begin{split} \sum_{i=1}^{6} \binom{|X_{i}|}{2} \sum_{j=1}^{8} \binom{|Y_{j}|}{2} + \\ \binom{|X_{1}|}{2} + \binom{|X_{3}|}{2} + \binom{|X_{4}|}{2} + \binom{|X_{6}|}{2} \end{pmatrix} (|Y_{1}||Y_{2}| + |Y_{3}||Y_{4}| + |Y_{5}||Y_{6}| + |Y_{7}||Y_{8}|) + \\ \left(\binom{|X_{2}|}{2} + \binom{|X_{5}|}{2}\right) (|Y_{1}||Y_{4}| + |Y_{2}||Y_{3}| + |Y_{5}||Y_{8}| + |Y_{6}||Y_{7}|) + \\ (|X_{1}||X_{2}| + |X_{4}||X_{5}|) \left(\binom{|Y_{2}|}{2} + \binom{|Y_{4}|}{2} + \binom{|Y_{6}|}{2} + \binom{|Y_{8}|}{2}\right) + \\ (|X_{2}||X_{3}| + |X_{5}||X_{6}|) \left(\binom{|Y_{1}|}{2} + \binom{|Y_{3}|}{2} + \binom{|Y_{5}|}{2} + \binom{|Y_{7}|}{2}\right). \end{split}$$

First assume that p is divisible by 6 and q is divisible by 8. One can easily compute that the number of crossings is pq(2pq - 10p - 9q + 36)/144.

Now let p, q be arbitrary numbers. Let p' be the smallest number divisible by 6 such that  $p' \ge p$  and q' be the smallest number divisible by 8 such that  $q' \ge q$ . Then the number of crossings is at most p'q'(2p'q'-10p'-9q'+36)/144. Noting that  $p'=6\lceil \frac{p}{6}\rceil \le p+5$  and  $q'=8\lceil \frac{q}{8}\rceil \le q+7$  we get the claim.

**Open Problem 5.** Make a conjecture showing a pattern for optimal biplanar drawings of  $K_{p,q}$ , i.e. pose the biplanar version of the Zarankiewicz conjecture. A good conjecture for  $K_{7,q}$  already seems to be hard to find.

**Open Problem 6.** Find an asymptotic formula for  $\operatorname{cr}_2(K_{p,q})$  for small fixed p.

# 4 Results and Problems on Other Specific Families Graphs

#### 4.1 Complete Graphs

Note that bounding  $cr_2(K_n)$  is a Nordhaus-Gaddum type problem [14]. Owens gave an explicit biplanar drawing of  $K_n$  with

$$\operatorname{cr}_2(K_n) \le \frac{7}{1536}n^4 + O(n^3).$$

The same upper bound (up to the second order term), based on a different drawing follows immediately from our work with Shahrokhi [21] by setting  $G = K_n$  in (11).

Harary et al. [4] and Tutte [28] showed that for  $n \leq 8$ ,  $\Theta(K_n) \leq 2$  and  $\Theta(K_9) = 3$ . Their construction actually also shows  $\operatorname{cr}_2(K_9) = 1$ . Applying the counting argument for  $H = K_{10,10}, G = K_n$ , and using  $\operatorname{cr}_2(K_{10,10}) \geq 28$  from (13), we obtain

$$\operatorname{cr}_2(K_n) \ge \frac{1}{1158}n^4 + O(n^3).$$
 (16)

We can do somewhat better than (16). Consider a biplanar drawing D of  $K_n$ . Then any subset of vertices induces a biplanar subdrawing, D', of the induced complete subgraph G'. Assume that G' has order n' and size  $m' = \binom{n'}{2}$ . According to (5),

$$\operatorname{cr}_{2}(G') \geq \begin{cases} 6m' - 66n' + 132 & \text{if } n' \geq 3\\ 6m' - 66n' + 132 - 12 & \text{if } n' = 2\\ 6m' - 66n' + 132 - 66 & \text{if } n' = 1\\ 6m' - 66n' + 132 - 132 & \text{if } n' = 0. \end{cases}$$
(17)

Pick now independently with probability p vertices of  $K_n$  to obtain a random G'. Taking expectation of the inequality of two random variables, (17), we obtain:

$$p^{4} \operatorname{cr}_{2}(K_{n}) \geq 6mp^{2} - 66np + 132 - 12\binom{n}{2}p^{2}(1-p)^{n-2} - 66np(1-p)^{n-1} - 132(1-p)^{n}.$$
 (18)

Setting p = 30.073871/n in (18) yields that for n sufficiently large,

$$\operatorname{cr}_2(K_n) \ge \frac{n^4}{952}.\tag{19}$$

It follows from the counting argument applied to  $G = K_n$  and  $H = K_{n-1}$ , that  $\operatorname{cr}(K_n)/\binom{n}{4}$  is a non-decreasing function of n, and hence has finite limit. The same argument applies to  $\operatorname{cr}_2(K_n)$  as well

**Open Problem 7.** Improve the lower bound in (19). Is  $\lim_{n\to\infty} \operatorname{cr}_2(K_n)/\binom{n}{4} = \frac{7}{24} \cdot \frac{24}{64} = \frac{7}{64}$ ? Find exact values for the biplanar crossing numbers of complete graphs for small values  $n = 10, 11, \ldots$ 

#### 4.2 Hypercubes

For the k-dimensional hypercube  $Q_k$ , it is known that  $\Theta(Q_7) \leq 2$  and the estimation (2) gives  $\operatorname{cr}_2(Q_8) \geq 8$ . We give a general upper bound for the biplanar crossing number of hypercubes.

**Theorem 6.** For  $k \ge 8$ 

$$\operatorname{cr}_{2}(Q_{k}) \leq \begin{cases} \frac{165}{512} 2^{\frac{3}{2}k} + O(k^{2}2^{k}), & \text{if } k \text{ is even,} \\ \\ \frac{176}{512} 2^{\frac{3}{2}k} + O(k^{2}2^{k}), & \text{if } k \text{ is odd.} \end{cases}$$

**Proof.** Our biplanar drawing of  $Q_k$  is based on the best known planar drawing due to Faria and Figueiredo [6] satisfying

$$\operatorname{cr}(Q_k) \le \frac{165}{1024} 4^k - (2k^2 - 11k + 34)2^{k-3}.$$
(20)

Let  $0 \leq i \leq k$ . Observe that all edges belonging to the first *i* dimensions in  $Q_k$  induce  $2^i$  distinct hypercubes isomorphic to  $Q_{k-i}$ . Draw these hypercubes on the first plane and

the  $2^{k-i}$  hypercubes isomorphic to  $Q_i$ , induced by the last k-i dimensions on the second plane, using (20). We get a biplanar drawing with

$$\operatorname{cr}_2(Q_k) \le \frac{165}{1024} 2^{2k-i} + \frac{165}{1024} 2^{k+i}.$$

Finally, by setting  $i = \lfloor k/2 \rfloor$ , we get the result.

Unfortunately, the lower bound formula (7) gives only a weak estimation of order  $\Omega(k^3 2^k)$ , and even (8) improves it insignificantly to  $\Omega(k^4 2^k)$ . In order to use (8), we have to note that we can keep a positive percentage of edges of  $Q_k$ , while destroying all 4-cycles by throwing out edges, see [8]. We know that our drawing is not optimal: some edges between vertex disjoint copies of  $Q_{\lfloor k/2 \rfloor}$  (resp.  $Q_{\lceil k/2 \rceil}$ ) can be brought over from the other plane without making new crossings, and in this way their old crossings are eliminated.

**Open Problem 8.** Is the upper bound in Theorem 6 still the best possible up to a constant multiplicative factor?

#### 4.3 Meshes

In the standard plane crossing number theory one of the most studied graph is the toroidal mesh, i.e. the Cartesian product of two cycles. See the recent paper [7] for the almost complete exact solution. We will concentrate on the biplanar crossing number of toroidal and ordinary meshes. It is an easy exercise to show that the graph  $C_{n_1} \times C_{n_2} \times C_{n_3}$  is biplanar for any  $3 \leq n_1, n_2, n_3$ . On the other hand  $C_{n_1} \times C_{n_2} \times C_{n_3} \times C_{n_4}$  has thickness at least 3. We can prove that  $\operatorname{cr}_2(P_2 \times C_n \times C_n \times C_n) = 0$ . We do not know whether

**Open Problem 9.** Is it true that  $cr_2(P_n \times C_n \times C_n \times C_n) = 0$ ?

If it is nonzero, it is surprisingly small, since we have a biplanar drawing showing that  $\operatorname{cr}_2(P_n \times C_n \times C_n \times C_n) = O(n^4)$ , which is just linear in the number of edges. (Put edges from the first two dimensions on the first plane, and edges from the second two dimensions on the second plane.)

**Theorem 7.** For even k

$$\operatorname{cr}_2\left(\prod_{i=1}^k C_n\right) \le 2^{\frac{k}{2}+5} n^{k-2}.$$

**Proof.** Put the edges of the first k/2 dimensions on the first plane. They induce  $2^{\frac{k}{2}}$  vertex disjoint subgraphs isomorphic to  $\prod_{i=1}^{\frac{k}{2}} C_n$ . Place the leftover edges on the second plane. Using the estimation

$$\operatorname{cr}\left(\prod_{i=1}^{\frac{\kappa}{2}} C_n\right) \le 16n^{k-2}$$

from [22] we get the result.

We leave it to the Reader to prove an analogue of Theorem 7 for odd k.

**Open Problem 10.** Show that the upper bound in Theorem 7 is tight.

## 5 Conclusion

Our knowledge on biplanar crossing numbers is as rudimentary as it was our knowledge on crossing numbers till Leighton's work [10] in the 70's. Bisection width and graph embedding methods cannot be used, only the counting method and density-based lower bounds are available. We hope that the development of structure-based lower bounds for the biplanar crossing numbers will shed light to some so far unknown properties of ordinary crossing numbers as well.

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